

To: Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

NEW APPLICATION TRANSMITTAL - UTILITY

Sir:

Transmitted herewith for filing is a **utility** patent application:

22389 U.S. PTO
10/656097
09/05/03

Inventor(s): Bhushan Khaladkar, Reema Koo, Nipun Agarwal, Ravi Murthy and Eric Sedlar

Title: METHOD AND MECHANISM FOR EFFICIENT ACCESS TO NODES IN XML DATA

I. PAPERS ENCLOSED HEREWITH FOR FILING UNDER 37 CFR § 1.53(b):

18 Page(s) of Written Description
7 Page(s) Claims
1 Page(s) Abstract
5 Sheets of Drawings Informal Formal

II. ADDITIONAL PAPERS ENCLOSED IN CONNECTION WITH THIS FILING:

Declaration (4 pgs.)
 Power of Attorney (2 pgs.) with copy of Assignment (3 pgs.) Separate
 Combined with Declaration
 Assignment to Oracle International Corporation (3 pgs.) and assignment cover sheet (1 pg.)
 Certified Copy of Priority Document No(s): _____
 Information Disclosure Statement w/PTO 1449 Copy of Citations
 Preliminary Amendment
 Request and Certification under 35 U.S.C. § 122(b)(2)(B)(i) (1 pg.). Applicant must attach form PTO/SB/35

CERTIFICATE OF MAILING
(37 C.F.R. §1.10)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as 'Express Mail Post Office To Addressee' in an envelope addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

EV348160512US
Express Mail Label No.

September 5, 2003
Date of Deposit
PA:52117306.1/2021039-7035732001/OID-2003-150-01

Linda Major
Name of Person Mailing Paper

Signature of Person Mailing Paper

- Return Postcard
- Other: Title Page (1 pg.); Fee Transmittal (in duplicate)

III. PRIORITY

- Priority of application Serial No. _____ filed on _____ is claimed under 35 U.S.C. § 119.

IV. THE FILING FEE HAS BEEN CALCULATED AS SHOWN BELOW:

- Applicant claims small entity status pursuant to 37 CFR § 1.27

| BASIC FILING FEE: | | | | | | | \$750.00 |
|--|-------|---|-----------------|---|----|--------------------------|------------|
| Total Claims | 35 | - | 20 | = | 15 | x \$18.00 | \$270.00 |
| Independent Claims | 9 | - | 3 | = | 6 | x \$84.00 | \$504.00 |
| Multiple Dependent Claims | \$280 | | (if applicable) | | | <input type="checkbox"/> | \$0.00 |
| TOTAL OF ABOVE CALCULATIONS | | | | | | | \$1,524.00 |
| Reduction by ½ for Filing by Small Entity, if applicable, as asserted above. Note 37 CFR §§ 1.9, 1.27, 1.28. | | | | | | <input type="checkbox"/> | \$0.00 |
| Misc. Filing Fees (Recordation of Assignment -- \$40) | | | | | | | \$40.00 |
| TOTAL FEES DUE HEREWITH | | | | | | | \$1,564.00 |

V. METHOD OF PAYMENT OF FEES

- A check in the amount of _____.
- Charge Bingham McCutchen's Deposit Account No. 50-2518, referencing billing no. 7035732001, in the amount of \$1,564.00, for the above fees.
- This application is being filed without payment of filing fees under 37 CFR § 1.53.

VI. AUTHORIZATION TO CHARGE FEES

The Commissioner is authorized to credit any overpayment and to charge any underpayment to Bingham McCutchen's Deposit Account No. 50-2518 for the following:

- 37 CFR § 1.16 – **(Filing fees and excess claims fees)**
- 37 CFR § 1.17 – **(Any application processing fees)**
- 37 CFR § 1.21 – **(Assignment recording fees)**

VII. CORRESPONDENCE ADDRESS

Please send all correspondence to Customer Number **23639**:

BINGHAM McCUTCHEN LLP
Three Embarcadero Center
Suite 1800
San Francisco, CA 94111-4067
(650) 849-4870

Please direct all inquiries to **Peter C. Mei**, at the above customer number.

Respectfully submitted,

BINGHAM McCUTCHEN LLP



Dated: September 5, 2003

By: _____

Peter C. Mei
Reg. No. 39,768

Complete if Known

FEE TRANSMITTAL FOR FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

\$1,564.00

| | |
|----------------------|--------------------------|
| Application Number | Not yet assigned |
| Filing Date | September 5, 2003 |
| First Named Inventor | Bhushan Khaladkar et al. |
| Examiner Name | Not yet assigned |
| Art Unit | Not yet assigned |
| Attorney Docket No. | OI7035732001 |
| | |

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit
Account
Number

50-2518

Deposit
Account
Name

Bingham McCutchen LLP

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status. See 37 CFR 1.27

2. Payment Enclosed:

Check Credit Card Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description | Fee Paid |
|----------------|-----------------|----------------|-----------------|------------------------|----------|
| 1001 | 750 | 2001 | 375 | Utility filing fee | \$750 |
| 1002 | 330 | 2002 | 165 | Design filing fee | |
| 1003 | 520 | 2003 | 260 | Plant filing fee | |
| 1004 | 750 | 2004 | 375 | Reissue filing fee | |
| 1005 | 160 | 2005 | 80 | Provisional filing fee | |
| SUBTOTAL (1) | | | | \$750.00 | |

2. EXTRA CLAIM FEES

| Total Claims | 35 | -20** = | 15 | x | 18 | = | \$270 |
|--------------------|----|---------|----|---|----|---|-------|
| Independent Claims | 9 | -3** = | 6 | x | 84 | = | \$504 |
| Multiple Dependent | | | | | | | |

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description | Fee Paid |
|----------------|-----------------|----------------|-----------------|---|----------|
| 1202 | 18 | 2202 | 9 | Claims in excess of 20 | |
| 1201 | 84 | 2201 | 42 | Independent claims in excess of 3 | |
| 1203 | 280 | 2203 | 140 | Multiple dependent claim, if not paid | |
| 1204 | 84 | 2204 | 42 | **Reissue independent claims over original patent | |
| 1205 | 18 | 2205 | 9 | **Reissue claims in excess of 20 and over original patent | |
| SUBTOTAL (2) | | | | \$774.00 | |

** or number previously paid, if greater; For reissues, see above.

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description | Fee Paid |
|---------------------|-----------------|----------------|-----------------|--|----------|
| 1051 | 130 | 2051 | 65 | Surcharge - late filing fee or oath | |
| 1052 | 50 | 2052 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 1053 | 130 | 1053 | 130 | Non-English specification | |
| 1812 | 2,520 | 1812 | 2,520 | For filing a request for ex parte reexamination | |
| 1804 | 920* | 1804 | 920* | *Requesting publication of SIR prior to Examiner action | |
| 1805 | 1,840* | 1805 | 1,840* | *Requesting publication of SIR after Examiner action | |
| 1251 | 110 | 2251 | 55 | Extension for reply within first month | |
| 1252 | 410 | 2252 | 205 | Extension for reply within second month | |
| 1253 | 930 | 2253 | 465 | Extension for reply within third month | |
| 1254 | 1,450 | 2254 | 725 | Extension for reply within fourth month | |
| 1255 | 1,970 | 2255 | 985 | Extension for reply within fifth month | |
| 1401 | 320 | 2401 | 160 | Notice of Appeal | |
| 1402 | 320 | 2402 | 160 | Filing a brief in support of an appeal | |
| 1403 | 280 | 2403 | 140 | Request for oral hearing | |
| 1451 | 1,510 | 1451 | 1,510 | Petition to institute a public use proceeding | |
| 1452 | 110 | 2452 | 55 | Petition to revive – unavoidable | |
| 1453 | 1,300 | 2453 | 650 | Petition to revive – unintentional | |
| 1501 | 1,300 | 2501 | 650 | Utility issue fee (or reissue) | |
| 1502 | 470 | 2502 | 235 | Design issue fee | |
| 1503 | 630 | 2503 | 315 | Plant issue fee | |
| 1460 | 130 | 1460 | 130 | Petitions to the Commissioner | |
| 1807 | 50 | 1807 | 50 | Processing fee under 37 CFR 1.17(q) | |
| 1806 | 180 | 1806 | 180 | Submission of Information Disclosure Stmt | |
| 8021 | 40 | 8021 | 40 | Recording each patent assignment per property (times number of properties) | \$40 |
| 1809 | 750 | 2809 | 375 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 1810 | 750 | 2810 | 375 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 1801 | 750 | 2801 | 375 | Request for Continued Examination (RCE) | |
| 1802 | 900 | 1802 | 900 | Request for expedited examination of a design application | |
| Other fee (specify) | | | | | |

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) \$40.00

SUBMITTED BY

Complete (if applicable)

| | | | | | |
|-------------------|---|-----------------------------------|--------|-----------|-------------------|
| Name (Print/Type) | Peter C. Mei | Registration No. (Attorney/Agent) | 39,768 | Telephone | (650) 849-4870 |
| Signature |  | | | Date | September 5, 2003 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PA:52117307.1/2021039-7035732001/OID-2003-150-01